

Guarantee SBLC Issuance Claim Update User Guide
**Oracle Banking Trade Finance Process
Management**

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Oracle Banking Trade Finance Process Management - Guarantee SBLC Issuance Claim Update User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction.

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Claim Update Under Guarantee Issued

As part of update a claim lodged under a Guarantee/SBLC Issued process, the applicant can register an update to a claim against the Guarantee/SBLC issued.

The various scenarios to Update a claim lodged under a Guarantee/SBLC issued are:

- Capturing response from the Applicant/ Instructing party for Extend or Settle request

(As per Article 23 or URDG - Extend or Pay, the guarantor may suspend payment for a period not exceeding 30 calendar days following its receipt of the demand and in case of counter-guarantees, the counter-guarantor may suspend payment for a period not exceeding four calendar days less than the period during which payment of the demand under the guarantee was suspended)

- Capturing details of further Presentation details/ Documents received from Beneficiary or claiming party
- Capturing details of any legal injunctions received from the Applicant/ Instructing Party
- Update of Settlement account or any other information in the underlying claim

In the subsequent sections, let's look at the details for update a claim lodged under a Guarantee/SBLC Issued process:

This section contains the following topics:

[Common Initiation Stage](#)

[Registration](#)

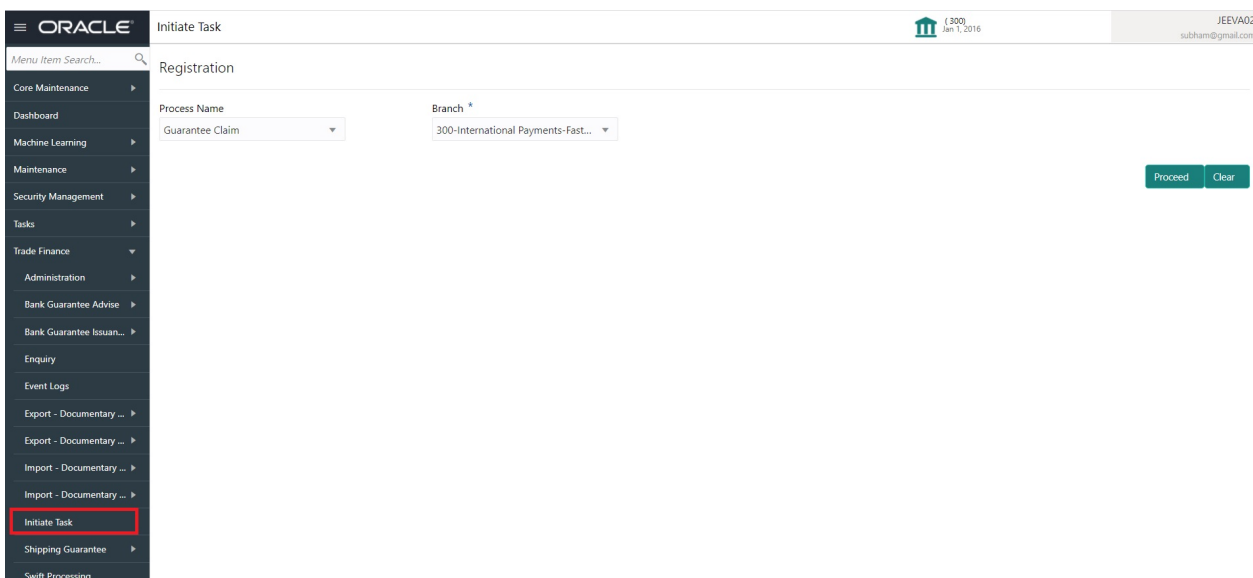
[Data Enrichment](#)

[Multi Level Approval](#)

Common Initiation Stage

The user can initiate the new update a claim lodged under a Guarantee/SBLC Issued request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

During the Registration stage, the user can register an update to the claim lodged under a Guarantee/ SBLC Issued.

In this stage the user can initiate an update to the Guarantee/ SBLC Claim Lodged. The user can capture the basic details of the application, check the signature of the applicant and upload the related documents of the applicant.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

FuTura Bank

Sign In

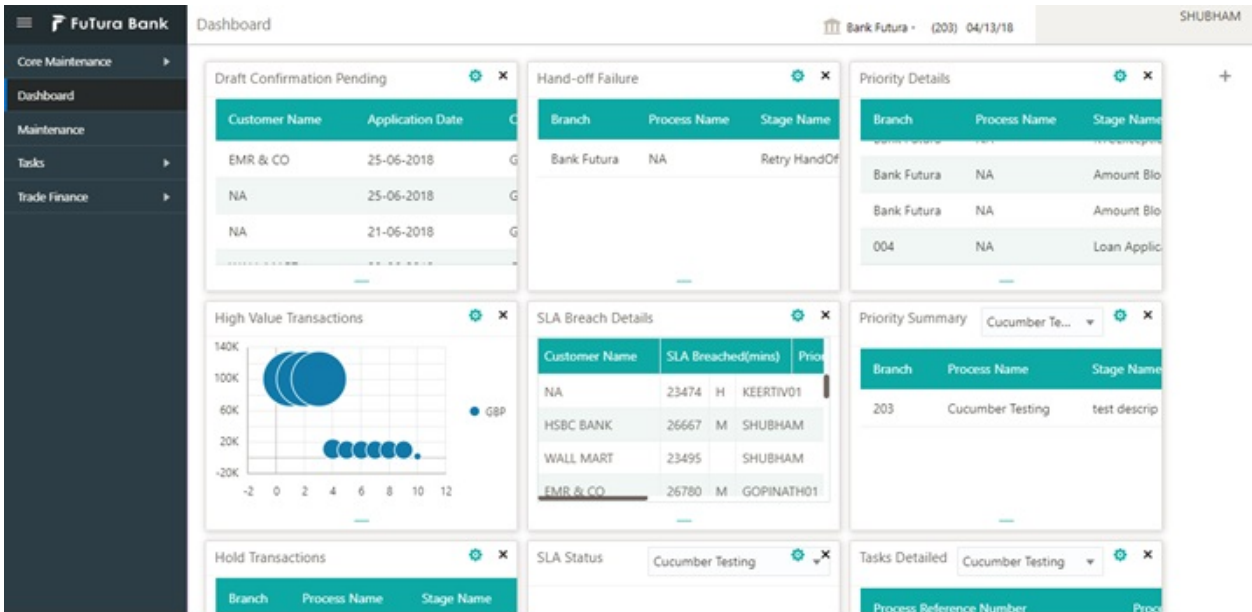
User Name *
SRIDHAR

Password *
.....

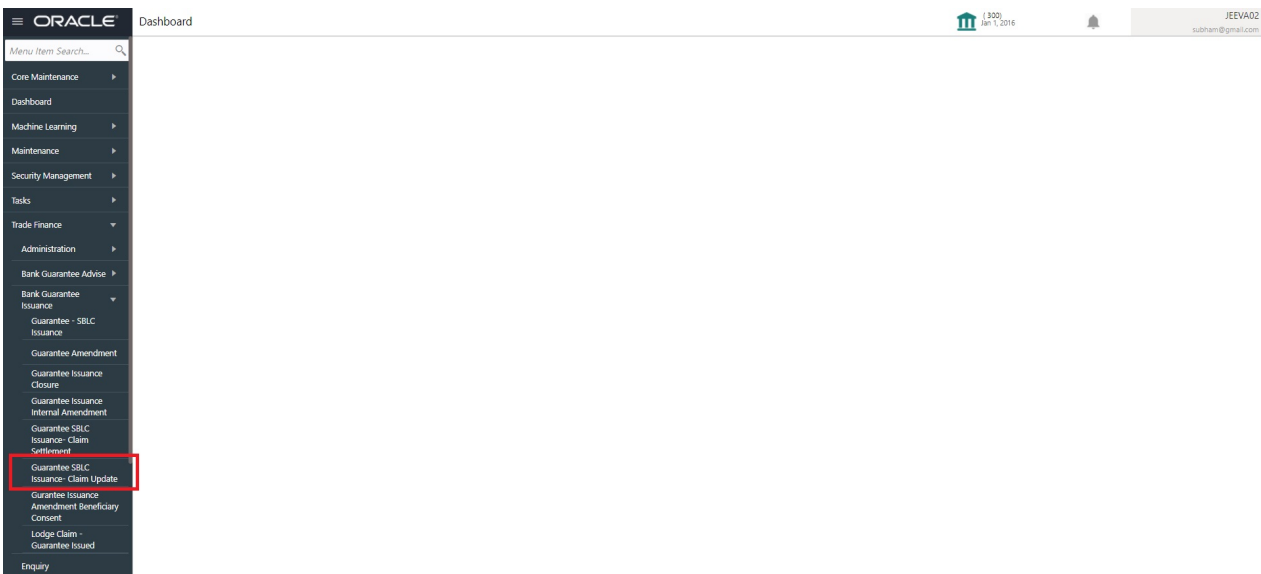
Sign In

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Bank Guarantee Issuance > Guarantee SBLC Issuance - Claim Update.




The Registration stage has two sections Application Details and Guarantee Details. Let's look at the registration screens below:

Application Details

The request is received at the Branch/ Front office or Processing center. The user should be able to input the following details.

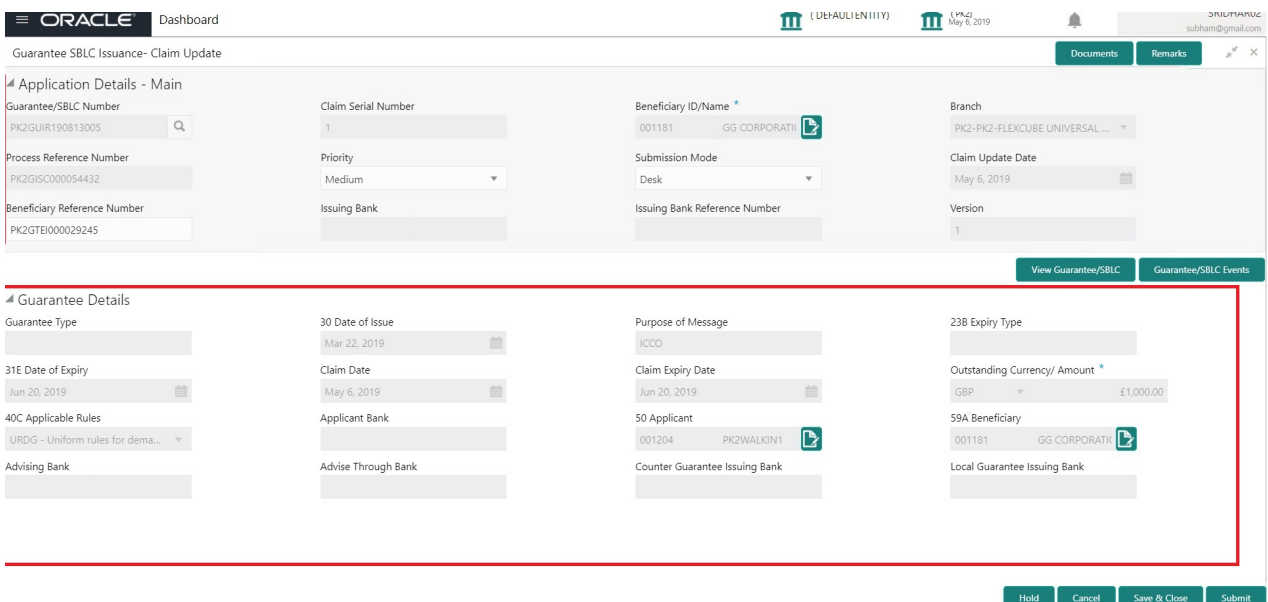
Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Guarantee/SBLC Number	User can enter the undertaking number. The user can also search the undertaking number through LOV search. System displays all the claims lodged under the Guarantee/ SBLC and user can select the claim for which update is required.	
Claim Serial Number	Read only field. System defaults the claim serial number from Guarantee/ SBLC Issuance to which update has to be done.	
Beneficiary ID/ Name	Read only field. System defaults the Beneficiary ID/ Name from Guarantee/ SBLC claim.	001345
Branch	Customer's home branch will be displayed. Read only field. System defaults the branch name from Guarantee/ SBLC Issuance.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEISS000 001134

Field	Description	Sample Values
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High
Submission Mode	Select the submission mode of Guarantee Issuance request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Fax - Request received through Fax Email - Request received through Email	Desk
Claim Update Date	By default, the application will display branch's current date. Read only field.  Note Future date and back date selection is not allowed.	04/13/2018
Beneficiary Reference Number	Read only field. System defaults the Beneficiary Reference Number from Guarantee/ SBLC claim.	
Issuing Bank	Read only field.	
Issuing Bank Reference Number	Read only field.	203GTEISS000 001134
Version	System defaults the version number.	

Guarantee Details

Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Data Enrichment user.



The screenshot displays the Oracle Guarantee SBLC Issuance - Claim Update form. The top navigation bar includes the Oracle logo, a dashboard link, and user information for 'DEFAULIEN1111' and 'PK21 May 6, 2019'. The main form is titled 'Guarantee SBLC Issuance - Claim Update' and features a search bar and buttons for 'Documents' and 'Remarks'.

Application Details - Main

- Guarantee/SBLC Number: PK2GUR190813005
- Process Reference Number: PK2GISCO00054432
- Beneficiary Reference Number: PK2GTE000029245
- Claim Serial Number: 1
- Priority: Medium
- Issuing Bank: [Empty]
- Beneficiary ID/Name: 001181 GG CORPORATI
- Submission Mode: Desk
- Issuing Bank Reference Number: [Empty]
- Branch: PK2-PK2-FLEXCUBE UNIVERSAL ...
- Claim Update Date: May 6, 2019
- Version: 1


Guarantee Details

- Guarantee Type: [Empty]
- 31E Date of Expiry: Jun 20, 2019
- 40C Applicable Rules: URDG - Uniform rules for dema...
- Advising Bank: [Empty]
- 30 Date of Issue: Mar 22, 2019
- Claim Date: May 6, 2019
- Applicant Bank: [Empty]
- Advise Through Bank: [Empty]
- Purpose of Message: ICCO
- Claim Expiry Date: Jun 20, 2019
- 50 Applicant: 001204 PK2WALKIN1
- Counter Guarantee Issuing Bank: [Empty]
- 23B Expiry Type: [Empty]
- Outstanding Currency/ Amount: GBP £1,000.00
- 59A Beneficiary: 001181 GG CORPORATI
- Local Guarantee Issuing Bank: [Empty]

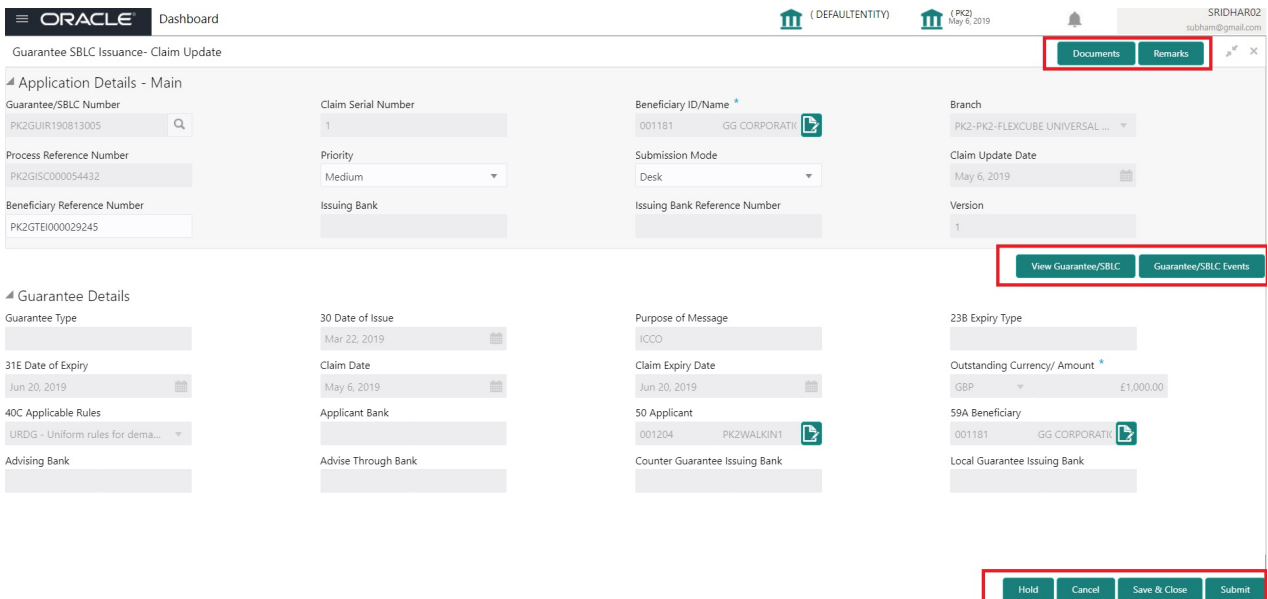
Buttons at the bottom include 'Hold', 'Cancel', 'Save & Close', and 'Submit'.

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Guarantee Type	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	ADVP
Date of Issue	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	04/13/18
Purpose of message	Read only field. System defaults the purpose of message from Guarantee/ SBLC Issuance.	
Expiry Type	This field indicates whether undertaking has specified expiry date or is open-ended. System defaults the expiry type from Guarantee/ SBLC Issuance.	
Date Of Expiry	Expiry date of the Guarantee Issuance. System defaults the expiry date from Guarantee/ SBLC Issuance.	09/30/18
Claim Date	System defaults the claim date from Guarantee/ SBLC Issuance.	04/13/2018
Claim Expiry Date	System defaults the claim expiry date from Guarantee/ SBLC Issuance.	04/13/2018
Outstanding Currency/ Amount	System defaults the outstanding currency and amount from Guarantee/ SBLC Issuance.	
Applicable Rules	Rules for Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Issuance.	URDG - Uniform rules for demand guarantees
Applicant Bank	Read only field. System defaults the applicant bank details from Guarantee/ SBLC Issuance.	001345 Nestle
Applicant	Read only field. System defaults the applicant from Guarantee/ SBLC Issuance.	001345 Nestle
Beneficiary	Read only field. System defaults the beneficiary from Guarantee/ SBLC Issuance. User can modify the beneficiary if required.	001345 Nestle
Advising Bank	Read only field. System defaults the advising bank if available.	001343 - Bank Of America

Field	Description	Sample Values
Advising Through Bank	Read only field. System defaults the advising through bank if available.	Advising Bank Reference
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank if available.	
Local Guarantee Issuing Bank	Read only field. System defaults the local guarantee issuing bank if available.	
Presenting Bank	Read only field. System defaults the value from Guarantee/ SBLC Claim.  <p>Note Currently this field is not available in OBTF.</p>	

Miscellaneous



The screenshot shows the Oracle 'Guarantee SBLC Issuance - Claim Update' form. It features a top navigation bar with the Oracle logo and user information. The main content is organized into two primary sections: 'Application Details - Main' and 'Guarantee Details'. The 'Application Details' section includes fields for Guarantee/SBLC Number, Process Reference Number, Beneficiary Reference Number, Claim Serial Number, Priority, Issuing Bank, Beneficiary ID/Name, Submission Mode, Issuing Bank Reference Number, Branch, Claim Update Date, and Version. The 'Guarantee Details' section includes fields for Guarantee Type, 30 Date of Issue, Purpose of Message, 23B Expiry Type, 31E Date of Expiry, Claim Date, Claim Expiry Date, Outstanding Currency/ Amount, 40C Applicable Rules, Applicant Bank, 50 Applicant, 59A Beneficiary, Advising Bank, Advise Through Bank, Counter Guarantee Issuing Bank, and Local Guarantee Issuing Bank. Several buttons are highlighted with red boxes: 'Documents' and 'Remarks' in the top right; 'View Guarantee/SBLC' and 'Guarantee/SBLC Events' in the middle right; and 'Hold', 'Cancel', 'Save & Close', and 'Submit' in the bottom right.

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	User can upload the claim documents. Application will display the mandatory and optional documents.	

Field	Description	Sample Values
Remarks	User can enter the additional information regarding the Claim Guarantee Issuance. This information can be viewed by other users in other stages of the process. Content from Remarks Field should be handed off to Remarks field in Backend application.	
View Guarantee/SBLC	User can view all the latest Guarantee/Standby LC details.	
Guarantee/SBLC Events	User can view all the previous events under the Guarantee/Standby LC.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Issuance Claim Update Registration stage input.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Submit	On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee/ SBLC Claim. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit. 1. Signatures on Claim verified 2. Mandatory claim Documents received	

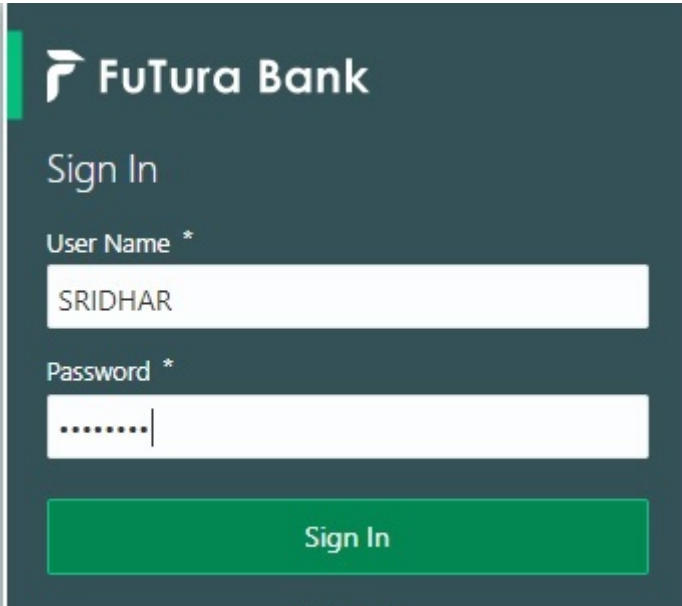
Data Enrichment

On successful completion of Registration of a Guarantee SBLC Claim update request, the request moves to Data Enrichment stage. At this stage the bank user can update the various claim fields. The user can input the transaction details.

At this stage the gathered information during Registration stage and claim update request are scrutinized and enter the data as required.

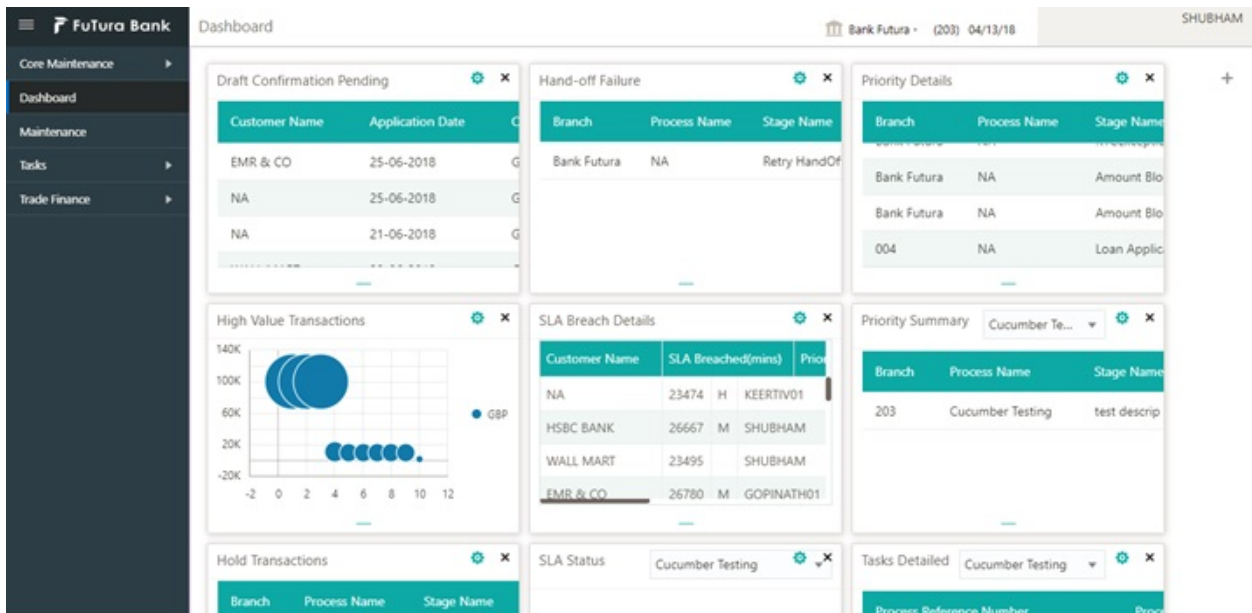
Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text "Sign In" is displayed. There are two input fields: "User Name *" with the value "SRIDHAR" and "Password *" with masked characters. A green "Sign In" button is located at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The image shows the dashboard of the FuTura Bank application. The dashboard is titled "Dashboard" and includes a navigation menu on the left with options like "Core Maintenance", "Dashboard", "Maintenance", "Tasks", and "Trade Finance". The main content area displays several widgets:

- Draft Confirmation Pending:** A table with columns "Customer Name", "Application Date", and "Status". Data rows include "EMR & CO" (25-06-2018), "NA" (25-06-2018), and "NA" (21-06-2018).
- Hand-off Failure:** A table with columns "Branch", "Process Name", and "Stage Name". Data row: "Bank Futura", "NA", "Retry HandOf".
- Priority Details:** A table with columns "Branch", "Process Name", and "Stage Name". Data rows include "Bank Futura", "NA", "Amount Blo" and "004", "NA", "Loan Applic".
- High Value Transactions:** A bubble chart showing transaction values on the y-axis (ranging from -20K to 140K) and a time-based x-axis (ranging from -2 to 12). A legend indicates "GBP".
- SLA Breach Details:** A table with columns "Customer Name", "SLA Breached(mins)", and "Priority". Data rows include "NA" (23474, H, KEERTIV01), "HSBC BANK" (26667, M, SHUBHAM), "WALL MART" (23495, SHUBHAM), and "EMR & CO" (26780, M, GOPINATH01).
- Priority Summary:** A table with columns "Branch", "Process Name", and "Stage Name". Data row: "203", "Cucumber Testing", "test descrip".
- Hold Transactions:** A table with columns "Branch", "Process Name", and "Stage Name".
- SLA Status:** A dropdown menu currently showing "Cucumber Testing".
- Tasks Detailed:** A table with columns "Process Reference Number" and "Process Name".

3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCC000039486	PK2GTCC000039486	Scrutiny	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039466	PK2ILCI000039466	DataEnrichment	20-11-11	PK2	001044	£4,425.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039473	PK2ILCI000039473	Registration	20-11-11	PK2	001044	£5,500.00
Acquire & Edit	M	Guarantee Advise Amen...	PK2GTA000039471	PK2GTA000039471	Registration	20-11-11	PK2	001044	£27,000.00
Acquire & Edit	M	Export LC Amendment B...	PK2ELCA000039469	PK2ELCA000039469	DataEnrichment	20-11-11	PK2	001044	£82,300.00
Acquire & Edit	M	Export LC Amendment B...	PK2ELCA000039467	PK2ELCA000039467	DataEnrichment	20-11-11	PK2	001044	£82,300.00
Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCC000039459	PK2GTCC000039459	DataEnrichment	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCC000039464	PK2GTCC000039464	Approval Task Level 1	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039462	PK2ILCI000039462	Scrutiny	20-11-11	PK2	001044	£343,434.00
Acquire & Edit	M	Shipping Guarantee Iss...	PK2SGTI000039036	PK2SGTI000039036	Approval Task Level 1	20-11-05	PK2	001044	£10.00
Acquire & Edit	M	Guarantee Issuance Ame...	PK2GTET000039457	PK2GTET000039457	DataEnrichment	20-11-11	PK2	000153	£14,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039430	PK2ILCI000039430	Handoff RetryTask	20-11-11	PK2	001044	£4,435.00
Acquire & Edit	M	Guarantee Cancellation	PK2GTCC000039450	PK2GTCC000039450	DataEnrichment	20-11-11	PK2	001044	£10,000.00
Acquire & Edit	M	Guarantee Cancellation	PK2GTCC000039449	PK2GTCC000039449	DataEnrichment	20-11-11	PK2	001044	£76,355.00

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	M	Guarantee SBLC Issuance-Claim ...	PK2GISCO00054432	PK2GISCO00054432	DataEnrichment	21-04-27	PK2	001204
Acquire & Edit	M	Shipping Guarantee Issuance	PK2SGTI000054429	PK2SGTI000054429	Approval Task Level 1	21-04-27	PK2	001044
Acquire & Edit	M	Export LC Transfer Amendment	PK2ELCT000054431	PK2ELCT000054431	Scrutiny	21-04-27	PK2	000264
Acquire & Edit	M	Export LC Transfer	PK2ELCT000054428	PK2ELCT000054428	Scrutiny	21-04-27	PK2	001044
Acquire & Edit	M	Export LC Transfer	PK2ELCT000054427	PK2ELCT000054427	Scrutiny	21-04-27	PK2	001044
Acquire & Edit	H	Import LC Issuance	PK2ILCI000054390	PK2ILCI000054390	Scrutiny	21-04-26	PK2	001043
Acquire & Edit	H	Import LC Issuance	PK2ILCI000054389	PK2ILCI000054389	Scrutiny	21-04-26	PK2	001043
Acquire & Edit	M	Import LC Issuance	PK2ILCI000054385	PK2ILCI000054385	Scrutiny	21-04-26	PK2	006214
Acquire & Edit	M	Import LC Closure	PK2ILCC000054382	PK2ILCC000054382	DataEnrichment	21-04-26	PK2	001044
Acquire & Edit	H	Import LC Issuance	PK2ILCI000054380	PK2ILCI000054380	Scrutiny	21-04-26	PK2	001043
Acquire & Edit	M	Import LC Issuance	PK2ILCI000054373	PK2ILCI000054373	Scrutiny	21-04-26	PK2	001044
Acquire & Edit	M	Import LC Issuance	PK2ILCI000054367	PK2ILCI000054367	Scrutiny	21-04-26	PK2	001044
Acquire & Edit	M	Import LC Issuance	PK2ILCI000054364	PK2ILCI000054364	Scrutiny	21-04-26	PK2	001044

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

My Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Edit	M	Guarantee SBLC Issuanc...	PK2GISCO00054432	PK2GISCO00054432	DataEnrichment	21-04-27	PK2	001204	
Edit	M	Import LC Drawing Upd...	PK2ILCU000051310	PK2ILCU000051310	Registration	21-04-12	PK2	001044	
Edit	M	Import LC Drawing	PK2ILCD000051283	PK2ILCD000051283	Registration	21-04-12	PK2	001044	
Edit	M	Guarantee Advise	000GTEA000049000	000GTEA000049000	Scrutiny	21-03-12	PK2	001044	
Edit	M	Guarantee Issuance Ame...	PK2GTET000048867	PK2GTET000048867	Registration	21-03-10	PK2	000153	
Edit	M	Import Documentary Co...	PK2IDCU000048836	PK2IDCU000048836	Registration	21-03-10	PK2	000149	
Edit	M	Export Documentary Co...	PK2EDCU000048753	PK2EDCU000048753	Registration	21-03-09	PK2	001044	
Edit	M	Export Documentary Co...	PK2EDCU000048716	PK2EDCU000048716	Registration	21-03-08	PK2	001044	
Edit	M	Guarantee Advise	PK2GTEA000048052	PK2GTEA000048052	DataEnrichment	21-02-26	PK2	001044	
Edit	M	Guarantee Issuance	PK2GTET000048045	PK2GTET000048045	DataEnrichment	21-02-26	PK2	001044	
Edit	M	Guarantee Issuance	PK2GTET000048020	PK2GTET000048020	DataEnrichment	21-02-26	PK2	001044	
Edit	M	Guarantee Advise	PK2GTEA000048041	PK2GTEA000048041	DataEnrichment	21-02-26	PK2	001044	
Edit	M	Import LC Drawing Upd...	PK2ILCU000046500	PK2ILCU000046500	Scrutiny	21-02-13	PK2	001044	

The Data Enrichment stage has five sections as follows:

- Main Details
- Claim Details

- Document Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields as part of Guarantee/SBLC claim update - Data Enrichment Stage. Some of the fields that are already having value from registration/ online channels may not be editable.

In case of requests received through SWIFT MT799, the task will be created in DE stage directly and the fields will be populated based on the incoming request.

Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) in the Registration stage for more information of the fields.

Oracle My Tasks (DEFAULTENTITY) (PK2) May 4, 2019 SRIDHAR02 subham@gmail.com

Guarantee SBLC Issuance-Claim Update - DataEnrichment :: Application No: PK2GISCO00054432

Main

Application Details - Main

Guarantee/SBLC Number PK2GUR190813005	Claim Serial Number 1	Beneficiary ID/Name * 001181 GG CORPORATIK	Branch PK2-PK2-FLEXCUBE UNIVERSAL ...
Process Reference Number PK2GISCO00054432	Priority Medium	Submission Mode Desk	Claim Update Date May 6, 2019
Beneficiary Reference Number PK2GTEI000029245	Issuing Bank	Issuing Bank Reference Number	Version 1

Guarantee Details

Guarantee Type	30 Date of Issue Mar 22, 2019	Purpose of Message KCCO	23B Expiry Type
31E Date of Expiry Jun 20, 2019	Claim Date May 6, 2019	Claim Expiry Date Jun 20, 2019	Outstanding Currency/ Amount * GBP £1,000.00
40C Applicable Rules URDG - Uniform rules for dema...	Applicant Bank	50 Applicant 001204 PK2WALKIN1	59A Beneficiary 001181 GG CORPORATIK
Advising Bank	Advise Through Bank	Counter Guarantee Issuing Bank	Local Guarantee Issuing Bank

Audit Reject Refer Hold Cancel Save & Close Back Next

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#). Refer to [Guarantee Details](#) for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.

The screenshot displays the Oracle application interface for 'Guarantee SBLC Issuance-Claim Update - DataEnrichment'. The main content area is divided into two sections: 'Application Details - Main' and 'Guarantee Details'. The 'Guarantee Details' section is highlighted with a red border and contains the following fields:

- Guarantee Type
- 30 Date of Issue: Mar 22, 2019
- Purpose of Message: ICCO
- 23B Expiry Type
- 31E Date of Expiry: Jun 20, 2019
- Claim Date: May 6, 2019
- Claim Expiry Date: Jun 20, 2019
- Outstanding Currency/ Amount: GBP, £1,000.00
- 40C Applicable Rules: URDG - Uniform rules for dema...
- Applicant Bank
- 50 Applicant: 001204, PK2WALKIN1
- 59A Beneficiary: 001181, GG CORPORATI
- Advising Bank
- Advise Through Bank
- Counter Guarantee Issuing Bank
- Local Guarantee Issuing Bank

The bottom toolbar contains the following buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the Guarantee/ SBLC Claim update DE stage inputs.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p>	

Field	Description	Sample Values
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

Claim Details

As part of DE, the bank user can update the various claim fields. The user can also be able to input the transaction details.

The user can scrutinize the claim update request and input data as required.

In case of requests received through SWIFT MT799, the task will be created in DE stage directly and the fields will be populated based on the incoming request.

Provide the Claim details based on the description in the following table:

Field	Description	Sample Values
Claiming Bank Reference	Read Only field. System defaults value from Guarantee /SBLC claim.	
Date of Demand	Read Only field. System defaults value from Guarantee /SBLC claim.	
Demand Indicator	Read Only field. System defaults value from Guarantee /SBLC claim.	
Demand Type	Read Only field. System defaults value from Guarantee /SBLC claim.	

Field	Description	Sample Values
Claim Currency/ Amount	Read Only field. System defaults currency for claim and the claim amount from Guarantee /SBLC claim.	
New Expiry Date	Read Only field. System defaults value from Guarantee /SBLC claim. If the applicant has accepted the extension in expiry date, then the new expiry date should be updated in the Guarantee Amend module in OBTf. Any additional commission for the extension to be calculated from the Amendment module.	
Demand Statement	Read Only field. System defaults value from Guarantee /SBLC claim.	
Presentation Completion Details	Read Only field. System defaults value from Guarantee /SBLC claim.	
Additional Amount Information	Read Only field. System defaults value from Guarantee /SBLC claim.	
Intermediary	Read Only field. System defaults value from Guarantee /SBLC claim. This field specifies the financial institution through which the amount claimed must pass to reach the account with institution.	
Account with Institution	Read Only field. System defaults value from Guarantee /SBLC claim. This field specifies the financial institution at which the amount claimed is to be settled.	
File Identification	User can capture the 23X file Identification to be available in the outgoing MT787,MT759 message.FFT to be available are 23XFILEIDENMT787, 23XFILEIDENMT759	
Sender to Receiver Information	User can capture the sender to receiver information to be available in the outgoing MT787,MT759 message.FFT to be available are SND2RECMT765, SND2RECMT787, SND2RECMT759, SND2RECMT799.	

Claim Update Details

Provide the Claim Update details based on the description in the following table:

Field	Description	Sample Values
Guarantor Response	<p>The user can select the guarantor response.</p> <p>This values are:</p> <ul style="list-style-type: none"> • Accept Extension • Reject Extension • Invalid Claim 	
Status	<p>System with default status based on the user acceptance or rejection of the extension request.</p> <p>If the applicant has accepted the extension, the status of the claim update should be Extension – Accepted and handoff from OBTFPM should be provided to the Guarantee Amendment function id in OBTF.</p> <p>If the applicant has rejected the extension, the status of the claim update should be Extension – Rejected and handoff from OBTFPM should be provided to the Guarantee Claim Update function id in OBTF.</p> <p>If the applicant has provided the legal injunction, the status of the claim update should be Injunction and handoff from OBTFPM should be provided to the Guarantee Claim Update function id in OBTF.</p> <p>If the bank has found discrepancy in the claim, user selects Invalid Claim. The status should be Rejected and handoff from OBTFPM should be provided to the Guarantee Claim Update function id in OBTF.</p>	
Legal Injunction	<p>User can update the claim status if there is any legal injunction in processing the claim.</p> <p>Toggle On: If Legal injunction toggle is set to 'Yes' all other subsequent fields will be ready only. User cannot update any other field.</p>	
Reason for Refusal	User can enter the reason for refusal.	
Disposal of Documents	User can enter the mode in which the documents have to be disposed in case of rejection of claim.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

Document Details

In Document Details, the user can to view the Documents required for a claim and verify if the Claim Documents are submitted as per documents required. The user, can scrutinize the claim request and input data as required.

The user can view the documents as part of claim under Guarantee/SBLC - DE Stage.

If documents to be submitted were provided in the Guarantee Issuance they will be defaulted, else the user can capture the documents submitted under the claim in this section.

Provide the Document details based on the description in the following table:

Field	Description	Sample Values
Code	User can enter the document code.	

Field	Description	Sample Values
Name	System defaults the document name based on the document code.	
Copy	Copy of the document.	
Original	Original claim document.	
Description	User can enter the description of the document if any.	
Documents Received	User can enter the details of document received.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Cancel	On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.	
Save & Close	Save the information provided and holds the task in 'My Tasks' for working later. This option will not submit the request	
Back	On clicking Back, system should move the task to the previous segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p>	
View Undertaking	<p>Clicking this button allows the user should to view the undertaking details.</p>	

Advices





This section defaults the advices maintained for the product based on the advices maintained at the Product level.

The screenshot shows the Oracle web application interface. At the top, there's a header with 'ORACLE My Tasks' and user information. Below the header, the main content area is titled 'Guarantee SBLC Issuance-Claim Update - DataEnrichment :: Application No: PK2GIS000054432'. On the left, there's a navigation menu with options like 'Main', 'Claim Details', 'Document Details', 'Additional Fields', 'Advices', 'Additional Details', 'Settlement Details', and 'Summary'. The 'Advices' section is active, displaying a card for 'Advice : GUA_CLAIM_ADV' with details: Advice Name: GUA_CLAIM_ADV, Advice Party: APP, Party Name: GOODCARE PLC, Suppress: NO. At the bottom, there's a toolbar with buttons: 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

The user can also suppress the Advice, if required.

The screenshot shows the 'Advice Details' dialog box. It has a 'Suppress Advice' toggle switch. Below it, there are input fields for 'Advice Name' (GUA_CLAIM_ADV), 'Medium' (MAIL), 'Advice Party' (APP), 'Party ID' (001044), and 'Party Name' (GOODCARE PLC). There is also an 'FFT Code' section with a table and an 'Instructions' section with 'OK' and 'Cancel' buttons.

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	

Field	Description	Sample Values
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

Additional Details

As a part of Additional details section, Guarantee /Standby claim may have impact on Charges.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

The screenshot shows the Oracle application interface for 'Guarantee SBLC Issuance-Claim Update - DataEnrichment :: Application No: PK2GISC000054457'. The 'Additional Details' section is active, showing two panels: 'Charge Details' and 'Preview Message'. The 'Charge Details' panel lists: Charge : GBP 100, Commission : , Tax : , and Block Status : Not Initiated. The 'Preview Message' panel lists: Language : and Preview Message : -. The interface includes a navigation menu on the left, a top header with 'ORACLE My Tasks', and a bottom toolbar with buttons like 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Charge Details

Click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details ×

Recalculate Redefault

Charge Details +

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURAMND	GBP	\$50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		PK100001540018
LCSWIFTAMN	GBP	\$50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		PK100001540018

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Commission Details

The values gets defaulted, In the Commission Details section, If default commission is maintained under the product.

Commission Details +

Component	Rate	Modified	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission needs to be collected.	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

▲ Tax Details +

Component	Currency	Amount	Billing	Defer	Settlement Account
No data to display.					

Save & Close
Close

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	

Field	Description	Sample Values
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

Preview Message

The bank user can view a preview of the message and advice simulated from back office which is based on the guarantee Claim captured in the previous screen.

Preview Message
✕

Preview - SWIFT Message

Language: English | Message Type: 799

Preview Message

```
{1:F01AAEMNL21AXXX1111111111}
{2:I799WFBUS65SXXXN}
{3:{108:1112148793060882}}
{4:
:20:PK2GUIR19081AP2X
:21:NONREF
:79:NEW AMEND REQ
-}
```

Preview - Mail Advice

Language: English | Advice Type: FIXNETIX

Preview Message

```
Debit Advice
-----
11-JAN-20

FIXNETIX
FIXNETIX
PKBANK41XXX
```

Save & Close Close

The Preview section consists of following.

Field	Description	Sample Values
Preview SWIFT Message		
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Message	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Preview Message	This toggle enables the user to select if draft confirmation is required or not	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p>	
View Undertaking	<p>Clicking this button allows the user should to view the undertaking details.</p>	

Settlement Details

ORACLE My Tasks (DEFAULTTENITY) (PK2) May 6, 2019 SRIDHAR02 subham@gmail.com

Guarantee SBLC Issuance-Claim Update - DataEnrichment :: Application No: PK2GIS000054432

Overrides Incoming Message View Undertaking

Settlement Details Screen (7 / 8)

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator
AGUIR_COM1_LIQD	GBP	Debit	PK100001640014		GBP	
AGUIR_COMM_LIQD	GBP	Debit	PK100001640014		GBP	
AGUIR_COMM_LQPP	GBP	Debit	PK100001640014		GBP	
AVL_SET_LCAMT	GBP	Debit	PK100001640014		GBP	
AVL_SET_LCAMTEQ	GBP	Credit	PK100001570010		GBP	
CLAIM_SETTLE_AMT	GBP	Credit	PK20011810020		GBP	
COLLAMT_OSEQ	GBP	Debit	PK100001640014		GBP	
COLL_AMNDAMTEQ	GBP	Debit	PK100001640014		GBP	
COLL_AMTEQ	GBP	Debit	PK100001640014		GBP	
COLL_AVALAMTEQ	GBP	Credit	PK100001570010		GBP	
COLL_REFUND	GBP	Credit	PK20010430013		GBP	

Audit Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Component	Read only field. System defaults the value from Guarantee /SBLC claim.	
Currency	Read only field. System defaults the value from Guarantee /SBLC claim.	
Debit/Credit	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account Description	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account Currency	Read only field. System defaults the value from Guarantee /SBLC claim.	
Netting Indicator	Read only field. System defaults the value from Guarantee /SBLC claim.	

Field	Description	Sample Values
Amount	Read only field. System defaults the value from Guarantee /SBLC claim.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">● R1- Documents missing● R2- Signature Missing● R3- Input Error● R4- Insufficient Balance/Limits● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p>	
View Undertaking	<p>Clicking this button allows the user should to view the undertaking details.</p>	

Summary

User can review the summary screen for Guarantee /Standby Claim update request.

In this section the user can see the summary tiles. The tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different color, User must be also able to drill down from summary tiles into respective data segments.

Oracle My Tasks (DEFAULTENTITY) (PK2) May 6, 2019 SRIDHARQ2 subham@gmail.com

Guarantee SBLC Issuance-Claim Update - DataEnrichment :: Application No: PK2GISCO00054432

Summary

Main	Claim Details	Document Details	Additional Fields
Booking Date : 2019-05-06 Submission Mode : Desk Amount : GBP 1000	Demand Type : \$ newExpiryDate : Intermediary :	Document 1 : Document 2 :	Click here to view : Additional fields
Advices	Commission, Charges and taxes	Preview Messages	Settlement Details
Advice 1 : Advice 2 :	Charge : GBP50 Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	Component : OTHBNKCHG_ Account Number : PK10000164 Currency : GBP
Party Details	Compliance	Accounting Details	
Applicant : PK2WALKIN1 Beneficiary : GG CORPORA	KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Event : GCLM Account Number : 313100003 Branch : PK2	

Screen (8 / 8)

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Claim Update Details - User can view the claim details.
- Documents Details - User can view the Document details.
- Additional Fields - User can view the additional fields.
- Commission, Charges and taxes - User can view the details provided for charges. User can modify the details if required.
- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details – User should be able to view the settlement details.
- Accounting Entries - User can see the accounting details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Submit	<p>On clicking Submit, system validates for all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory steps, then error message is displayed and force the user to visit mandatory tabs/update mandatory fields.</p>	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

Multi Level Approval

This stage allows the approver user to approve a Claim Lodged under Guarantee Issued Transaction.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Re-Key Authorization

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Name
- Beneficiary Name
- Undertaking Currency
- Undertaking Amount
- Expiry Date

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey
✕

Documents
Remarks

Claim Amount

✓

Claim Currency

✓

Expiry Date

✓

Refer
Close
Proceed

Summary

ORACLE
Free Tasks

(DEFAULTTENITY)
(PK2) May 0, 2019

JEEVA02
subhem@gmail.com

Guarantee SBLC Issuance-Claim Update - Approval Task Level 1 :: Application No: PK2GISCO00054432

Documents
Remarks
Overrides
Incoming Message
View Undertaking

Main	Claim Details	Document Details	Additional Fields	Advices
Booking Date : 2019-05-06 Submission Mode : Desk Amount : GBP 1000	Demand Type : S newExpiryDate : Intermediary :	Document 1 : Document 2 :	Click here to view Additional fields :	Advice 1 : Advice 2 :
Commission, Charges and taxes	Preview Messages	Settlement Details	Party Details	Compliance
Charge : GBP50 Commission : Tax : Block Status : Success	Language : ENG Preview Message : -	Component : OTHBNKCHG Account Number : PK10000164 Currency : GBP	Applicant : PK2WALKIN1 Beneficiary : GG CORPORA	KYC : Verified Sanctions : Verified AML : Verified
Accounting Details				
Event : GCLM Account Number : 313100003 Branch : PK2				

Audit

Reject
Hold
Refer
Cancel
Approve

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Claim Update Details - User can view the claim details.
- Documents Details - User can view the Document details.
- Additional Fields - User can view the additional fields.
- Commission, Charges and taxes - User can view the details provided for charges. User can modify the details if required.

- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details – User should be able to view the settlement details.
- Accounting Entries - User can see the accounting details.
- Compliance – The compliance tile has the KYC, Sanctions and AML

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the Guarantee Issuance approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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